

Adviser pack For Rebecca Aldridge

Background information, qualifications and regulatory status



Background report - Rebecca Aldridge

The purpose of this adviser pack is to give you more insight into our team of financial planners and their experience within financial services.

You can navigate through the different sections by clicking the left hand menu.

On the left hand side, you can also click to save the whole document as a PDF, or to print it.

If you have a question about any aspect of our services, please contact us using any of these methods:



The Point, Loughborough Road

West Bridgford

Nottingham

NG2 7QW

T: 0800 7723456 | F: 0872 1117721 | team@balancewealth.uk
| www.balancewealth.uk



Rebecca Aldridge

Managing Director and Chartered Financial Planner



Rebecca has over 20 years of experience working in award-winning financial planning companies at senior and board level, and founded Balance: Wealth Planning in 2014.

Read on to find out more about Rebecca.

Your goals - our focus. Our careful planning could help you **do more** than you ever thought possible, **sooner** than you imagine.

Website profile

This is an extract from Rebecca's website profile here:

[Rebecca Aldridge - Balance: Wealth Planning](#)



My role

I am a financial planner, working with clients to organise their wealth so they have the best chance of meeting their goals. That means I spend a lot of time meeting people, listening to what they want to achieve and doing all I can to make it happen.

As Managing Director and founder of Balance: Wealth Planning, I ensure that every piece of advice is sound, right for each client and our research is rock solid. I am also responsible for the continuous development, growth and advancement of the business.

I work predominantly out of our [Nottingham](#) office and work with clients remotely around the UK.

My clients... in their own words

To read how my clients feel about our service, in their own words, click the link below.

Contact Rebecca

☎ 0115 7722126

☎ 07787 556237

✉ rebecca@balancewealth.uk

📍 The Point, Loughborough Road, West
Bridgford, Nottingham, NG2 7QW



Background

I've worked in award-winning financial planning companies at senior and board level for over 20 years, with a reputation for being professional, versatile and efficient. I've been a financial adviser and also taken care of business operations, regulatory compliance and process improvement. I have also worked as an independent consultant to other financial planning firms. I founded Balance in 2014.

What's important to me

Meet the team



Enthusiastic, knowledgeable, and committed to making a difference.

[View more of our team](#) →

I believe very strongly in the principles of living a kind, worthwhile and fulfilling life. For me that means doing what is right and doing it as well as possible.

I love to learn and adopt new ideas. You can often find me watching a documentary or reading the latest books on business or psychology.

My perfect weekend includes tackling a cryptic crossword, getting out for a walk by the river and doing something creative, maybe getting the sewing machine out. Then in the evening, a tasty vegetarian dinner and a trip to the theatre, perhaps to see a musical, some dance or comedy. The more varied, the better!

My family is incredibly important to me, especially my two fabulous children.

Qualifications

I hold Chartered Financial Planner and Certified Financial Planner status. Only around 5% of all financial planners have this combination of qualifications.

I am also a Financial Life Planner having trained at the globally recognised Kinder Institute of Life Planning.

To find out more about me, please read my [adviser pack](#).



The KINDER INSTITUTE
of LIFE PLANNING

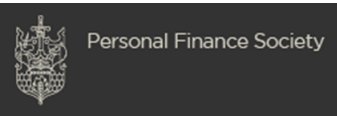
Money gives **security and options**. It helps us do the things we want to do. To have nice things around us. To help the people we love.

Professional Body Membership

Personal Finance Society

Rebecca is a member of the Personal Finance Society, which is part of the Chartered Insurance Institute. You can view the registration here:

<https://www.thepfs.org/web/app/membersearch/MemberSearch.aspx?endstem=1&q=n&n=rebecca+aldridge&c=&ch=0&p=0>



Name	
Mrs Rebecca Aldridge	APFS  Chartered Financial Planner View
Country United Kingdom	Area Nottingham Hide

A Chartered Financial Planner must have an Advanced Diploma in Financial Planning, at least five years' experience and maintain a programme of ongoing learning.

APFS means Rebecca has the Advanced Diploma in Financial Planning from the Chartered Insurance Institute and is a paying member of the professional body. This is equivalent to a degree and takes several years to achieve.

Chartered Institute for Securities and Investment

She is also a member of the Chartered Institute for Securities and Investment. You can view the registration here:

<https://www.cisi.org/cisiweb2/cisi-website/join-us/cisi-member-directory>

Rebecca Aldridge CFP™ MCSI

Balance: Wealth Planning Ltd, United Kingdom

FCA number: REF01025

Employer: Balance: Wealth Planning Ltd

Location: United Kingdom

Certified Financial Planner™: ✓

CFP means Rebecca is a Certified Financial Planner. This is equivalent to a degree and takes several years to achieve. MCSI means she is a paying member of the

The Certified Financial Planner qualification is equivalent to a degree and is an internationally recognised standard. Regarded as one of the toughest qualifications to achieve, there are less than 1,000 Certified Financial Planners in the UK.

Our unique process leads to **increased wealth**, reduced taxes, improved **confidence** and **reduced risks**. Helping our clients **retire sooner**, have more **holidays**, give **generously**, and feel **in control**.

Statement of Professional Standing

Statement of Professional Standing



Chartered
Insurance
Institute
Standards. Professionalism. Trust.

Every financial planner must have a Statement of Professional Standing from a professional body in order to practice. This Statement of Professional Standing has been issued by the Chartered Insurance Institute.

Statement of Professional Standing

Mrs Rebecca Aldridge

FCA Individual Reference Number:

REF01025

People must either be approved by the Financial Conduct Authority (FCA) or be certified by their employer before giving financial advice. You can check if this person is approved by the FCA to give advice, or if they are certified by their employer to give advice, by going to register.fca.org.uk/s/ and searching with their individual reference number as shown above.

The Chartered Insurance Institute (CII) has issued this statement to the above named adviser. The CII has checked that the adviser meets the required qualification standard and confirms the adviser has signed an annual declaration stating that they have kept their knowledge up to date and complied with the FCA Code of Conduct.

Date of issue:

16 February 2026

Valid until:

31 July 2026

These statements are checked and renewed annually.

Matthew Hill
Chief Executive, CII Group

The Chartered Insurance Institute (CII) is the premier professional body for the insurance and financial planning sector with over 100,000 members in more than 150 countries. It promotes higher standards of integrity, technical competence and business capability. The person named above is a member of the CII and is bound by a Code of Ethics as a condition of membership. For more information, visit cii.co.uk/memberssearch

CII Permanent Identity Number 001264823C

Award-winning holistic financial planning. Carefully considering every aspect of your finances, we forecast your lifetime wealth and the lifestyle it could support.

Rebecca Aldridge - FCA authorisation

You can look this page up here:

<https://register.fca.org.uk/s/individual?id=003b000000LVITTA1>

Mrs Rebecca Elizabeth Aldridge

Reference number: REF01025

Certified / assessed by firm

Approved by regulator

This individual carries out roles that are regulated in different ways. Check their record for the firms they are connected with and further details about their roles.

No FCA or PRA disciplinary or regulatory action.

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Current roles & activities >

History

Memberships

Current roles and activities

Show table of all current roles

This is not a full employment history, as it only covers roles with activities regulated by the FCA and/or PRA.

Rebecca Elizabeth Aldridge is connected to:

Balance: Wealth Planning Limited
(Approved roles)

Neon Financial Planning Limited
(Appointed Representative)

Balance: Wealth Planning Limited
(Certified/assessed roles)

Balance: Wealth Planning Limited (Approved roles)

CF30 Customer

From 03 Nov 2014

This individual has been approved by the FCA and/or PRA to perform specific roles at this firm.

SMF3 Executive Director

From 09 Dec 2019

SMF16 Compliance Oversight

From 09 Dec 2019

SMF17 Money Laundering Reporting Officer (MLRO)

From 09 Dec 2019

Responsible for Insurance Distribution

From 01 Oct 2018

Balance: Wealth Planning Limited (Certified/assessed roles)

The FCA updates any disciplinary or regulatory information immediately, and requires that firms will update all other changes to a certified/assessed individual's record within 7 days.

[FCA CF] Significant management

From 09 Dec 2019

This individual has been certified and/or assessed by an authorised firm that is regulated for certain activities. This firm accepts responsibility for the certified/assessed individual and the information on this record.

[FCA CF] Functions requiring qualifications

From 09 Dec 2019

Additionally, this individual performs 3 activities that require qualifications.

Show activities

[About this role](#) ⓘ

[FCA CF] Manager of certification employee

From 09 Dec 2019

[FCA CF] Client dealing

From 09 Dec 2019

[About this role](#) ⓘ

Functions requiring qualifications including giving advice about investments, pensions and insurances.

This individual performs 3 activities that require qualifications

These are activities at a firm with which this individual is involved that require an appropriate qualification. These are not the only activities with which they are involved. For more details refer to the firm connected to the activity.

Why do some activities require qualifications?

Certified / assessed individuals require qualifications for activities related to regulated business when they work directly with customers. The FCA shows these activities so you can ask about their qualifications before deciding to work with an individual at a firm.

Investment activities

4. Giving personal recommendations on retail investment products which are not broker funds

Insurance activities

6. Giving personal recommendations on Friendly Society tax-exempt policies (other than Holloway sickness policies where the Holloway policy special application conditions are met)

Pension activities

11. Pension transfer specialist

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Balance: Wealth Planning Limited

This firm trades under 1 name

Reference number: 629329

Head Office contact details

 The Point Loughborough Road West Bridgford
Nottingham Nottinghamshire

NG2 7QW
UNITED KINGDOM

 441157722126

 team@balancewealth.uk

 <http://www.balancewealth.uk/> 

Available for consultation:

 in person

 Nottingham

 by phone

 virtual consultation or via email

It's important to check the firm's record to see its status, any restrictions, and what regulated activities they are permitted to do.

We do not keep individual contact details on the Register. To get in touch with this individual, you should contact their firm.

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Neon Financial Planning Limited (Appointed Representative)

This is a firm that can carry out certain activities on behalf of another firm (its principal) that is regulated in the UK or regulated in another EEA country. The principal has accepted responsibility for the Appointed Representative's activities.

CF1 Director (AR)

From 29 Oct 2018

Responsible principal firm:

Balance: Wealth Planning Limited

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Taken up from 29 Oct 2018

Neon Financial Planning Limited

This firm trades under 1 name

Reference number: 801067

Head Office contact details

 The Point Loughborough Road West Bridgford
Nottingham

NG2 7QW
UNITED KINGDOM

 +4408002461865

 team@neonfp.com

 www.neonfp.com 

It's important to check the firm's record to see its status, any restrictions, and what regulated activities they are permitted to do.

We do not keep individual contact details on the Register. To get in touch with this individual, you should contact their firm.

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History

Show table of all previous roles

This is not a full employment history, as it only covers roles with activities regulated by the FCA and/or PRA.

Rebecca Elizabeth Aldridge was connected to:

Balance: Wealth Planning Limited
Fiscal Engineers Limited

Cooper Parry Wealth Limited
TW11 Wealth Management Ltd

The register shows all other roles that have been held by the same person. This helps you see the other places they have worked, and how long they have

This shows the last known employer, the start date of having this particular role in that business and the end date. These dates do not mean they started and left the business, but that the roles started and stopped then. All CF30 Customer roles (financial planners) ended on 8th December 2019 and were replaced with new roles.

Previous roles for Rebecca Elizabeth Aldridge

CLOSE X

Print Page

FRN	Firm Name	Involvement Type	Effective From	Effective To
JA	JA		▼	▼
629329	Balance: Wealth Planning Limited	CF10 Compliance Oversight	09 Jul 2019	08 Dec 2019
629329	Balance: Wealth Planning Limited	CF11 Money Laundering Reporting	09 Jul 2019	08 Dec 2019
801067	Neon Financial Planning Limited	Employed By	29 Oct 2018	
763630	TW11 Wealth Management Ltd	CF30 Customer	01 Feb 2017	20 Dec 2017
629329	Balance: Wealth Planning Limited	CF1 Director	03 Nov 2014	08 Dec 2019
629329	Balance: Wealth Planning Limited	CF10 Compliance Oversight	03 Nov 2014	21 Mar 2018
629329	Balance: Wealth Planning Limited	CF11 Money Laundering Reporting	03 Nov 2014	21 Mar 2018
629329	Balance: Wealth Planning Limited	Responsible for Insurance Mediation	03 Nov 2014	30 Sep 2018
211885	Fiscal Engineers Limited	CF30 Customer	01 Sep 2012	21 May 2013
197193	Cooper Parry Wealth Limited	CF1 Director	15 Jun 2010	15 May 2012
197193	Cooper Parry Wealth Limited	Responsible for Insurance Mediation	15 Jun 2010	05 Jul 2012
197193	Cooper Parry Wealth Limited	CF10 Compliance Oversight	25 Jan 2010	05 Jul 2012
197193	Cooper Parry Wealth Limited	CF30 Customer	01 Nov 2007	22 Oct 2011
197193	Cooper Parry Wealth Limited	CF21 Investment Adviser	23 Oct 2007	31 Oct 2007
197193	Cooper Parry Wealth Limited	CF22 Investment Adviser (Trainee)	02 Jan 2007	23 Oct 2007

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Memberships

Individuals who are certified / assessed to perform activities that require qualifications are members of professional bodies who oversee these qualifications. You can find out more through the bodies listed.

Rebecca Elizabeth Aldridge is a member of:

The Chartered Institute for Securities and Investment (CISI) 

The Chartered Insurance Institute (CII) 

Our fees represent the **time**, **care** and **expertise** needed to produce your personal financial plan, and help you implement it.

Client reviews

This certificate is produced by the website www.vouchedfor.co.uk which lists the profiles of financial planners in the UK and collects verified client reviews including those shown below:



Rebecca Aldridge
Balance: Wealth Planning Limited | Chartered
Financial Planners | Life Planning Experts | Pension
Transfer Specialists

Based on this client rating by visitors to VouchedFor

★★★★★
4.8 out of 5
63 Reviews

Winter 2019



 **TOP RATED ADVISER 2019**
Read this adviser's client reviews at VouchedFor.co.uk

Review from Verified Client

Derbyshire | December 2019

★★★★★ 5 out of 5

Advice: ★★★★★

Service: ★★★★★

Value: ★★★★★

What were the circumstances that caused you to look for a financial adviser?

As we looked to begin the next chapter our lives in retirement we wanted to consolidate our pensions under our control. Some very good friends recommended the services of Rebecca Aldridge from Balance: Wealth Planning.

How did Rebecca help you?

We met Rebecca in their satellite office in Derby, using a series of questionnaires on lifestyle, aspirations, goals etc., to best understand our needs. After consulting other financial service companies to benchmark the transfer (both initially and long term management) we felt that Balance: Wealth Planning and specifically Rebecca Aldridge was the best fit for our pension needs. Rebecca and her team handled the transfer very professionally, constantly communicating each stage of the process, whilst being very proactive chasing all parties to close out the process in a timely manner. Rebecca personally provided insight and guidance on all aspects of the pension transfer process and our future requirements, responding to our many questions with clear and informative answers that allowed us to move forward with confidence in her and the team.

Have you seen the outcome you were hoping for?

It's early days, but the performance of our investments have been in line with the predictions, the support of Balance: Wealth Planning and Parmenion has been excellent to date.

Review from Verified Client

Derbyshire | November 2019

★★★★★ 5 out of 5

Advice: ★★★★★

Service: ★★★★★

Value: ★★★★★

What were the circumstances that caused you to look for a financial adviser?

Having banked with Coutts for a few years and their costs increasing, another person involved with banking introduced me to Rebecca at Balance Wealth. My wife and I find her very helpful, caring, extremely knowledgeable in financial investments, financial planning and more importantly explains her reasoning very clearly

How did Rebecca help you?

Transferring investments, investment planning, pensions, Will assistance, Inheritance planning

Review from Verified Client

Leicestershire | October 2019

★★★★★ 5 out of 5

Advice: ★★★★★

Service: ★★★★★

Value: ★★★★★

What were the circumstances that caused you to look for a financial adviser?

To gain professional advice regarding insurance, savings and investments in order to feel secure about the future of our family

How did Rebecca help you?

Rebecca spent a long time listening to our concerns and requirements. She did a thorough review of our current situation and then gave suggestions and options based on this. We found her to be very intuitive about our needs , with a calm, professional manner that helped to create an open and trusting relationship.

Have you seen the outcome you were hoping for?

Yes we have the peace of mind we were seeking.

Review from Verified Client

Leicestershire | October 2019

★★★★★ 5 out of 5

Advice: ★★★★★

Service: ★★★★★

Value: ★★★★★

What were the circumstances that caused you to look for a financial adviser?

Following a business sale I required expert advice in terms of how to correctly invest with the proceeds.

How did Rebecca help you?

Rebecca carefully assessed our needs by performing a very detailed analysis of our current and future requirements. By knowing this information she was able to formulate a bespoke investment strategy designed specifically to meet our needs.

Have you seen the outcome you were hoping for?

Since the initial consultation and subsequent follow up meetings where Rebecca fine tuned the plan we have started to see the benefits of her investment strategy.

What could they have done better?

Given the level of detail Rebecca went into during the consultation period to build a picture of our requirements it is very difficult to see how she could have done anymore. No stone was left unturned as she built a complete picture of our lifestyle, both now and for our future requirements.

The real secret to a **successful** financial plan is to keep it regularly reviewed. Life changes fast, and your plan needs to reflect that. **Regulations and investment markets** don't take breaks either. Our unique support continues to

grow and protect your wealth, keep taxes low and give you **peace of mind**.

Awards and accolades



Chartered Financial Planners

Awarded by the Chartered Insurance Institute (CII)

Recognised for our commitment to professionalism, ethics, and expertise. Chartered status represents the highest standard in financial planning.



Pension Transfer Gold Standard

Awarded by the Personal Finance Society (PFS)

This benchmark reflects our dedication to delivering high-quality, transparent pension transfer advice.



Money Marketing Awards 2024

Best Retirement Advice Firm & Next Generation Advice Firm of the Year

National recognition for our innovation, holistic approach, and client-first philosophy.



Citywire New Model Adviser Top 100

2018, 2019, 2020, 2021, 2022, 2024

Listed among the UK's top 100 financial planning firms for professionalism, leadership, and trusted client service.



David Norton Building Excellence

Awarded by the Chartered Institute for Securities & Investment

Recognising our forward-thinking approach and dedication to raising standards in financial planning.



VouchedFor Top Rated Adviser 2025

Awarded by VouchedFor in partnership with The Times

Selected for outstanding client feedback, verified reviews, and a consistent commitment to high-quality advice.

We are **award-winning** financial planning experts – **independent, professional**
and **excellent listeners**

Balance: Wealth Planning - FCA registration

You can access this page here:

<https://register.fca.org.uk/s/firm?id=001b000000a8GfdAAE>



The Financial Services Register



Balance: Wealth Planning Limited

Reference number: 629329

This firm is authorised for specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.

Contents

- Who is this firm? >
- How are customers protected?
- What can this firm do in the UK? >
- Who is involved with activities at this firm?
- Who is this firm connected to? >

Who is this firm?

Firm details

Check details about this firm's place of business, contact details, etc. ^

The FCA expects that a firm will confirm at least annually that these details are accurate.

Details last confirmed: 03 Jul 2020

Address ⓘ

The Point
Loughborough Road
West Bridgford
Nottingham
Nottinghamshire
NG2 7QW
UNITED KINGDOM

Phone

441157722126

Email

team@balancewealth.uk

Website

<http://www.balancewealth.uk/>

Firm reference number

629329

Registered company number ⓘ

[08552799](#)

Contents

Who is this firm? >

How are customers protected?

What can this firm do in the UK? >

Who is involved with activities at this firm?

Who is this firm connected to? >

Check this firm's status and any additional regulatory information. ^

Status

Authorised

Since 03/11/2014

This firm is authorised for specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.

Type

Regulated

Trading names

This firm currently trades under 1 trading names. ^

Current names

Results per page

10 v

Showing 1 result out of 1

Name	Status	Effective from
↓A	↓A	v
Balance: Wealth Planning Limited	Registered	24 Oct 2014

Previous names

Results per page

10 v

Showing 1 result out of 1

Name	Status	Effective from	Effective to
↓A	↓A	v	v
Think Smarter Consulting	Trading	30 Jul 2014	02 Feb 2016

Results per page

10 v

How are customers protected?

Working with a regulated business gives you a high degree of protection. If our advice is not sound, you can complain. If we cannot resolve the matter you usually have free legal dispute support from the Financial Ombudsman. If we should pay you compensation and we aren't able to do so because of business failure, you can usually claim against the Financial Services Compensation Scheme.

Protections and support

Understand the protections you have when dealing with this firm, and how to make a complaint. ^

Customer protections and the Register

Regulated activities performed by regulated firms or individuals are covered by a range of protections. Most of these protections are not through the FCA but are primarily through the Financial Ombudsman Service and the Financial Services Compensation Scheme.

The protections available relate to specific regulated activities, products and investment types. We recommend that you check below for the activities that this firm has FCA and/or PRA permission to provide before going ahead. You should also contact the firm and ask them to confirm the specific protections available to you.

The Financial Ombudsman Service may be able to consider a dispute with this firm

Regulated activities that this firm has permissions for may be covered by the Financial Ombudsman Service. If you have complained to the firm and they haven't responded satisfactorily, you can contact the [Financial Ombudsman](#) for help.

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Who is involved with activities at this firm?

Who is this firm connected to?

The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails

Regulated activities that this firm has permissions for may be covered by the Financial Services Compensation Scheme. If this firm has failed, you can contact the [Financial Services Compensation Scheme](#) for help.

If you have a complaint about a firm

First try to contact the firm directly. In most circumstances, a firm must reply within 8 weeks, or 15 days for payment services such as bank deposits, direct debits or online payments.

If you are concerned you have been scammed, contact [FCA Contact Centre](#) and [Action Fraud](#) immediately.

Complain to the firm



Rebecca Aldridge



The Point
Loughborough Road
West Bridgford
Nottingham
Nottinghamshire
NG2 7QW
UNITED KINGDOM



441157722126



rebecca@balancewealth.uk



<http://www.balancewealth.uk/>

Report to the FCA

If you have concerns about a firm listed on the Register, [contact the FCA directly](#).

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using [this form](#).

What can this firm do in the UK?

Restrictions

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.



We cannot put your money in our bank account unless you are paying our fee.

These are regulations that apply to all financial planning firms. MiFID stands for The Markets in Financial Instruments Directive. The rules broadly require us to tell you what exactly you are investing, what it costs and how it is performing.

Client Money

This firm cannot hold client money. It may be able to control client money if it has the necessary requirements.

Specific requirements may change this firm's ability to hold or control client money – see below for details.

Effective since	Requirement
-----------------	-------------

30 Jul 2014	Exempt MiFID firm (Article 3) Must comply with the requirements in regulation 4C (or any successor provision) of the Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2007	>
30 Jul 2014	Exempt MiFID firm (Article 3) Must comply with the requirements in regulation 4C (or any successor provision) of the Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2007	>



Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.



What to do with this information

These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should [contact the FCA](#).

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How are customers protected?

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What can this firm do in the UK?

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Who is involved with activities at this firm?

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Who is this firm connected to?

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Advising on investments (except on Pension Transfers and Pension Opt Outs)

LIMITATIONS

Investment Type

Life Policy
Non-investment insurance contracts

Customer Type

Commercial
Professional
Retail (Investment)
Retail (Non-Investment Insurance)

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

Advising on Pension Transfers and Pension Opt Outs

LIMITATIONS

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

Customer Type

Professional
Retail (Investment)

Investment Type

Life Policy

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Arranging (bringing about) deals in investments

LIMITATIONS



Investment Type

Life Policy
Non-investment insurance contracts

Customer Type

Commercial
Professional
Retail (Investment)
Retail (Non-Investment Insurance)

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

Making arrangements with a view to transactions in investments

LIMITATIONS



Investment Type

Life Policy
Non-investment insurance contracts

Customer Type

Commercial
Professional
Retail (Investment)
Retail (Non-Investment Insurance)

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

If you need advice from a **team** that shares your **values**, we would love to work with you.