Balance:
Wealth Planning

Adviser Pack for Alistair Hill

Background information, qualifications and regulatory status

Background report - Alistair Hill

The purpose of this adviser pack is to give you more insight into our team of financial planners and their experience within financial services.

You can navigate through the different sections by clicking the left hand menu.

On the left hand side, you can also click to save the whole document as a PDF, or to print it.

If you have a question about any aspect of our services, please contact us using any of these methods:

Balance: Wealth Planning

The Point, Loughborough Road

West Bridgford

Nottingham

NG2 7QW

T: 0800 7723456 | F: 0872 1117721 | team@balancewealth.uk | www.balancewealth.uk

Alistair Hill

Financial Planner

Alistair has a wealth of experience in Financial Services and has worked in many different areas, including Financial Planning and Private Banking in the UK, the US, and the Middle East.

Read on to find out more about Alistair.

Your goals - our focus. Our careful planning could help you **do more** than you ever thought possible, **sooner** than you imagine.

Website profile

This is an extract from Alistair's website profile here:

[Alistair Hill - Balance: Wealth Planning]

Contact Alistair

0115 7722126

07985 212618

Alistair@balancewealth.uk

The Point, Loughborough Road, West Bridgford, Nottingham, NG2 7QW

My role

I focus on building goal-oriented financial plans tailored to my clients' needs. I aim to ensure you feel confident about your financial future by safeguarding the resources needed to support the life you wish to lead. It's a privilege to work with individuals and families, ensuring that life's significant moments are as smooth and stress-free as possible.

Background

Following in my father's footsteps, I chose to pursue a career in Financial Services after university. I have always been drawn to helping others, so personal finance was a natural path for me.

My financial services career has included experience in Financial Planning and Private Banking in the UK, the US, and the Middle East.

After spending time abroad, working with clients from all four corners of the globe, I returned home to Nottinghamshire to be closer to family and to help clients in the local area secure their financial futures.

What's important to me

- **Health** – The most valuable asset we can possess.
- **Quality Relationships** – Depth over breadth.
- **Clarity** – A clear path makes for a smoother journey.
- **Integrity** – Treat people fairly.
- **Novelty** – I especially love travelling to new places and trying new cuisines!

My weekends are spent walking through Sherwood Forest, watching Newcastle United, or failing miserably at my new hobby – golf (any tips would be appreciated).

Qualifications

I am a Chartered Financial Planner and hold the CII Level 6 Advanced Diploma in Financial Planning.

To find out more about me, please read my [adviser pack].

Chartered Financial Planner

Money gives **security and options**. It helps us do the things we want to do. To have nice things around us. To help the people we love.

Statement of Professional Standing

Every financial planner must have a Statement of Professional Standing from a professional body in order to practice. This Statement of Professional Standing has been issued by the Chartered Insurance Institute.

Chartered
Insurance
Institute
Standards. Professionalism. Trust.

Statement of Professional Standing

Mr Alistair Hill

FCA Individual Reference Number:

AXH01154

People must either be approved by the Financial Conduct Authority (FCA) or be certified by their employer before giving financial advice. You can check if this person is approved by the FCA to give advice, or if they are certified by their employer to give advice, by going to **register.fca.org.uk/s/** and searching with their individual reference number as shown above.

The Chartered Insurance Institute (CII) has issued this statement to the above named adviser. The CII has checked that the adviser meets the required qualification standard and confirms the adviser has signed an annual declaration stating that they have kept their knowledge up to date and complied with the FCA Code of Conduct.

These statements are checked and renewed annually.

Date of issue:	Valid until:
1 September 2025	31 August 2026

Matthew Hill
Chief Executive, CII Group

The Chartered Insurance Institute (CII) is the premier professional body for the insurance and financial planning sector with over 120,000 members in more than 150 countries. It promotes higher standards of integrity, technical competence and business capability. The person named above is a member of the CII and is bound by a Code of Ethics as a condition of membership. For more information, visit cii.co.uk/membersearch

CII Permanent Identity Number 001727078K

Award-winning holistic financial planning. Carefully considering every aspect of your finances, we forecast your lifetime wealth and the lifestyle it could support.

Alistair Hill - FCA authorisation

You can look this page up here:

Alistair David Hill (fca.org.uk)

Mr Alistair David Hill

Reference number: AXH01154

Certified / assessed by firm

This individual has been certified and/or assessed by an authorised firm that is regulated for certain activities. This firm accepts responsibility for the certified/assessed individual and the information on this record. The FCA does not directly approve these individuals.

No FCA or PRA disciplinary or regulatory action.

Memberships

Individuals who are certified / assessed to perform activities that require qualifications are members of professional bodies who oversee these qualifications. You can find out more through the bodies listed.

Alistair David Hill is a member of:

The Chartered Insurance Institute (CII)

Our fees represent the **time**, **care** and **expertise** needed to produce your personal financial plan, and help you implement it.

Awards and accolades

Chartered Financial Planners

Awarded by the Chartered Insurance Institute (CII)

Recognised for our commitment to professionalism, ethics, and expertise. Chartered status represents the highest standard in financial planning.

Pension Transfer Gold Standard

Awarded by the Personal Finance Society (PFS)

This benchmark reflects our dedication to delivering high-quality, transparent pension transfer advice.

Money Marketing Awards 2024

Best Retirement Advice Firm &
Next Generation Advice Firm of the Year

National recognition for our innovation, holistic approach, and client-first philosophy.

Citywire New Model Adviser Top 100

2018, 2019, 2020, 2021, 2022, 2024

Listed among the UK's top 100 financial planning firms for professionalism, leadership, and trusted client service.

David Norton Building Excellence

Awarded by the Chartered Institute for Securities & Investment

Recognising our forward-thinking approach and dedication to raising standards in financial planning.

VouchedFor Top Rated Adviser 2025

Awarded by VouchedFor
in partnership with The Times

Selected for outstanding client feedback, verified reviews, and a consistent commitment to high-quality advice.

We are **award-winning** financial planning experts – **independent**, **professional** and **excellent listeners**

Balance: Wealth Planning - FCA registration

You can access this page here:

https://register.fca.org.uk/s/firm?id=001b000000a8GfdAAE

FCA FINANCIAL CONDUCT AUTHORITY

The Financial Services Register

Balance: Wealth Planning Limited

Reference number: 629329

This firm is authorised for **specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.**

Contents

Who is this firm?

Firm details

Check details about this firm's place of business, contact details, etc.

The FCA expects that a firm will confirm at least annually that these details are accurate.

Details last confirmed: 03 Jul 2020

Address

The Point
Loughborough Road
West Bridgford
Nottingham
Nottinghamshire
NG2 7QW
UNITED KINGDOM

Phone

441157722126

Email

team@balancewealth.uk

Website

http://www.balancewealth.uk/

Firm reference number

629329

Registered company number

08552799

Contents

Check this firm's status and any additional regulatory information.

Status

Authorised

Since 03/11/2014

This firm is authorised for **specific activities and product types. It's important to check the full record for what regulated activities this firm has permission to do.**

Type

Regulated

Trading names

This firm currently trades under 1 trading names.

Current names

Results per page 10

Showing 1 result out of 1

Name	Status	Effective from
Balance: Wealth Planning Limited	Registered	24 Oct 2014

Previous names

Results per page 10

Showing 1 result out of 1

Name	Status	Effective from	Effective to
Think Smarter Consulting	Trading	30 Jul 2014	02 Feb 2016

Results per page 10

Working with a regulated business gives you a high degree of protection. If our advice is not sound, you can complain. If we cannot resolve the matter you usually have free legal dispute support from the Financial Ombudsman. If we should pay you compensation and we aren't able to do so because of business failure, you can usually claim against the Financial Services Compensation Scheme.

How are customers protected?

Protections and support

Understand the protections you have when dealing with this firm, and how to make a complaint.

Customer protections and the Register

Regulated activities performed by regulated firms or individuals are covered by a range of protections. Most of these protections are not through the FCA but are primarily through the Financial Ombudsman Service and the Financial Services Compensation Scheme.

The protections available relate to specific regulated activities, products and investment types. We recommend that you check below for the activities that this firm has FCA and/or PRA permission to provide before going ahead. You should also contact the firm and ask them to confirm the specific protections available to you.

The Financial Ombudsman Service may be able to consider a dispute with this firm

Regulated activities that this firm has permissions for may be covered by the Financial Ombudsman Service. If you have complained to the firm and they haven't responded satisfactorily, you can contact the **Financial Ombudsman** for help.

Contents

The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails

Regulated activities that this firm has permissions for may be covered by the Financial Services Compensation Scheme. If this firm has failed, you can contact the **Financial Services Compensation Scheme** for help.

If you have a complaint about a firm

First try to contact the firm directly. In most circumstances, a firm must reply within 8 weeks, or 15 days for payment services such as bank deposits, direct debits or online payments.
If you are concerned you have been scammed, contact **FCA Contact Centre** and **Action Fraud** immediately.

Complain to the firm

Rebecca Aldridge

The Point
Loughborough Road
West Bridgford
Nottingham
Nottinghamshire
NG2 7QW
UNITED KINGDOM

441157722126

rebecca@balancewealth.uk

http://www.balancewealth.uk/

Report to the FCA

If you have concerns about a firm listed on the Register, **contact the FCA directly.**

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using **this form.**

What can this firm do in the UK?

Restrictions

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.

We cannot put your money in our bank account unless you are paying our fee.

Client Money
This firm cannot hold client money. It may be able to control client money if it has the necessary requirements.

Specific requirements may change this firm's ability to hold or control client money – see below for details.

These are regulations that apply to all financial planning firms. MiFID stands for The Markets in Financial Instruments Directive. The rules broadly require us to tell you what exactly you are investing, what it costs and how it is performing.

Effective since	Requirement
30 Jul 2014	**Exempt MiFID firm (Article 3)** Must comply with the requirements in regulation 4C (or any successor provision) of the Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2007
30 Jul 2014	**Exempt MiFID firm (Article 3)** Must comply with the requirements in regulation 4C (or any successor provision) of the Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2007

Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.

What to do with this information
These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should **contact the FCA.**

Contents

Advising on investments (except on Pension Transfers and Pension Opt Outs)

LIMITATIONS

Investment Type

Life Policy
Non-investment insurance contracts

Customer Type

Commercial
Professional
Retail (Investment)
Retail (Non-Investment Insurance)

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

Advising on Pension Transfers and Pension Opt Outs

LIMITATIONS

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

Customer Type

Professional
Retail (Investment)

Investment Type

Life Policy

Contents

Arranging (bringing about) deals in investments

LIMITATIONS

Investment Type

Life Policy
Non-investment insurance contracts

Customer Type

Commercial
Professional
Retail (Investment)
Retail (Non-Investment Insurance)

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

Making arrangements with a view to transactions in investments

LIMITATIONS

Investment Type

Life Policy
Non-investment insurance contracts

Customer Type

Commercial
Professional
Retail (Investment)
Retail (Non-Investment Insurance)

Limitation

Rights to or interests in (both).
Investment activity in "rights to or interests in investments (security)" and "rights to or interests in investments (contractually based investment)" is limited to the investment types granted for this activity.

If you need advice from a **team** that shares your **values**, we would love to work with you.